







# WORKSHOP ON ALTERNATIVE DISPUTE RESOLUTION Principles of the mediation process

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## **Principles of the mediation process**

- > Confidentiality of the process
- Voluntariness and flexibility
- Independence of the mediator
- > Impartiality of the mediator
- No additional fees



## **Confidentiality**

- Articles 5 of the BoA Presidium decision and 7 of the BoA Rules on Mediation.
- The discussions and negotiations conducted within the framework of mediation are confidential for all persons involved in the mediation and without prejudice to any party's legal position
- No disclosure of such information in any judicial, arbitration or other proceedings
- Mediator can not be a witness, or produce in evidence any records, in any litigation, arbitration or other formal process in connection with the dispute and the mediation



#### **Confidential Information**

#### Information includes:

- the request for mediation
- > the entire mediation process
- the outcome of the mediation
- Any opinion, suggestion, to anybody
- > Prohibition of dissemination of **any information** relating to mediation
- Destruction or deletion of materials obtained for the purpose of mediation after the termination



#### **Voluntariness and flexibility**

- Participation in the process is voluntary
- > The parties choose the mediator and the language of the mediation, no strict procedural rules
- > The parties decide on the **outcome**, the settlement of the dispute
- Mediator helps parties in reaching a voluntary and mutually satisfactory settlement



#### Independence and neutrality of the mediator (1/2)

Examiners and members of the Opposition Divisions, the Cancellation Divisions, the Invalidity Divisions, the BoA or any other person may not be designated as mediator if:

he/she has any personal interest OR previously been involved in the case under appeal.

Article 3.2 of the BoA Presidium Decision

➤ The mediator should not be involved as an examiner, member of the Opposition Divisions, the Cancellation Divisions, the Invalidity Divisions or the BoA in **any further proceedings** of the case or any related case.

Article 3.5 of the BoA Presidium Decision



#### Independence and neutrality of the mediator (2/2)

- Continuing obligation throughout the process of mediation, Article 4 of Boa Rules on Mediation
- The mediator must disclose any circumstances that give rise to a conflict of interest:
  - any personal or business relationship with one or more of the parties;
  - as a representative, legal advisor or decision taker of the contested decision or
  - as an assistant or member of the appeal in dispute
- > **No disqualification**, if the parties have been notified in writing and have expressly consented in writing to the designation of the mediator.



# Impartiality of the mediator

- Article 4.5 of the BoA Rules on Mediation
- Mediators must at all times act with impartiality towards the parties and be committed to serve all parties equally with respect to the process of mediation.



#### No additional fees

- ➢ If the mediation is held at EUIPO premises in Alicante, no additional fees other than the appeal proceedings fees are requested
- ➢ If the mediation is held at EUIPO premises in Brussels, an administrative fee has to be paid to cover the travel, lodging and subsistence expenses of the mediators.









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